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New European Bauhaus

## Business Plan 2021-2022

# EIT Community New European Bauhaus Call for proposals for Citizen Engagement Activities

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# EIT Community New European Bauhaus Call for Proposals for Citizen Engagement Activities

## Business Plan 2021-2022

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### List of abbreviations

EIT	European Institute of Innovation & Technology (EIT)
NEB	New European Bauhaus initiative
HE MGA	Horizon Europe Model Grant Agreement
KPIs	Key Performance Indicators

## 1 Introduction to the EIT Strategic Synergies Cluster

The EIT Strategic Innovation Agenda (SIA) 2021-2027 sets the strategic direction, priorities and objectives of the European Institute of Innovation and Technology (EIT) and its Knowledge and Innovation Communities (EIT KICs).

One specific objective in the new EIT SIA 2021-2027 is to establish and foster appropriate synergies and complementarities between EIT activities and other relevant Union, national and regional initiatives, instruments, and programmes, and ensure consistency with Union priorities and commitments, including the European Green Deal, the Recovery Plan for Europe, the European Strategy for data, the SME Strategy for a sustainable and digital Europe, and the New Industrial Strategy for Europe<sup>1</sup>.

To realise such ambitions, the EIT and the EIT KICs have established the Strategic Synergies Cluster of activities, to strengthen the EU's innovation and entrepreneurial capacity and integrate into and engage with innovation ecosystems. By doing so, the EIT KICs aim at becoming real engines of impact and support the realisation of a carbon-neutral, digital, circular, and inclusive European society.

The EIT Strategic Synergies Cluster includes four key initiatives co-designed and co-created by the EIT KICs with their innovation ecosystems: EIT Cross-KIC Artificial Intelligence for Europe, EIT Cross-KIC Circular Economy Collaboration, EIT Cross-KIC New European Bauhaus, and EIT Cross-KIC Women Entrepreneurship.

A central philosophy of the EIT Community is the integration of the EIT Knowledge Triangle model<sup>2</sup> into all its activities. All the activities implemented within the EIT Strategic Synergies Cluster will also leverage and use the Knowledge Triangle model as an enabler, facilitating the creation of systemic, change, while also reinforcing the links between grantees and their local and regional ecosystems, and providing an impetus to leverage additional funding sources beyond the EIT funding period of the selected projects.

Participation in the initiatives of the EIT Strategic Synergies Cluster will unite organisations that are already EIT KIC partners and non-partners to cultivate collaborative relationships and leverage the existing innovation and entrepreneurial knowledge base developed within EIT KICs thus far. Participation in an application selected for funding will not result in any obligation to become an EIT KIC partner. However, a non-partner may become a partner of an EIT KIC if deemed desirable by the non-partner organisation.

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<sup>1</sup> Decision (EU) No 2021/820 of the European Parliament and of the Council of 20 May 2021 on the Strategic Agenda of the European Institute of Innovation and Technology (EIT) 2021-2027: Boosting the Innovation Talent and Capacity of Europe and repealing Decision No 1312/2013/EU, OJ L 189/3 of 28 May 2021, <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32021D0820&from=EN>.

<sup>2</sup> The Knowledge Triangle refers to the interaction between business, education, and innovation, which are key drivers of a knowledge-based society. [https://eit.europa.eu/sites/default/files/eit\\_innovation\\_model.pdf](https://eit.europa.eu/sites/default/files/eit_innovation_model.pdf)

## 2 EIT Community New European Bauhaus

On 18 January 2021, the European Commission launched the co-design phase of the New European Bauhaus (NEB) initiative. The New European Bauhaus is an environmental, social, and cultural initiative that complements the European Green Deal and combines aesthetic experience, sustainability, and inclusivity. The co-design phase was completed in June 2021 and the contributions received allowed to shape the New European Bauhaus concept, explore ideas, identify urgent needs, and engage communities. The collections of inputs are included in the Communication from the Commission<sup>3</sup>, with a special focus on the core values, principles, thematic axes, and expected transformations of the initiative. According to it, a triangle of three core inseparable values have been set to guide the New European Bauhaus:

- **Sustainability** from climate goals, to circularity, zero pollution, and biodiversity
- **Aesthetics** quality of experience and style, beyond functionality
- **Inclusion** valorising diversity, equality for all, accessibility, and affordability

The following three key principles guide and integrate the New European Bauhaus dimensions' development:

- A **multilevel** approach: from global to local
- A **participatory** approach
- A **transdisciplinary** approach

Based on the analysis of the inputs received during the co-design phase, the New European Bauhaus were guided by the following four thematic axes that the Commission decided to follow during the implementation of the New European Bauhaus:

- **Reconnecting with nature**
- **Regaining a sense of belonging**
- **Prioritising the places and people that need it the most**
- **The need for long-term, life cycle thinking in the industrial ecosystem**

These thematic axes are highly interconnected in support of delivering the New European Bauhaus approach. For example, having access to green spaces can also bring people together. Affordable houses need proximity to the labour market to create a healthy and functional living ecosystem. Local improvement of a place cannot be done without taking the DNA and communities of the place into account.

The EIT supports the New European Bauhaus co-design and delivery phases by building on its network of 2,000 partners and 60 European hubs to set up a EIT Community New European Bauhaus Project. It is led by EIT Climate-KIC with the participation of EIT Urban Mobility, EIT Food, EIT Digital and EIT Manufacturing.

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<sup>3</sup> COMMUNICATION FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT, THE COUNCIL, THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE AND THE COMMITTEE OF THE REGIONS; Brussels, 15.9.2021; COM(2021) 573 final; Source: [https://europa.eu/new-european-bauhaus/delivery\\_en](https://europa.eu/new-european-bauhaus/delivery_en)

During 2021, EIT Community New European Bauhaus mobilised both public and private partners to develop talent and skills and showcase high-value impactful innovations. During the citizen engagement activities, more than 500 citizens and end-users were engaged in ideation and co-design events presenting several proposals to local authorities. Information about the 2021 supported projects can be found on the [EIT Community New European Bauhaus website](#).

In order to contribute in an agile manner, this Call for proposals aims to activate citizen-centred engagement activities during 2022. These citizen-centred engagement activities will serve to not only identify and prioritise challenges, but also to ideate an initial process to co-create solutions for the most pressing challenges in alignment with the New European Bauhaus core values and principles.

This Call is also aligned with the KICs' portfolio strategic fit through its alignment with the activity plan approved by the EIT. It reflects the EU dimension character as the geographical spread within the European Union of the proposals will also be considered.

Winners of the current Call will have the opportunity to interact with the New European Bauhaus Community, NEBLab and other relevant New European Bauhaus activities through sharing information, best practice, and, where relevant, results. please visit the official [New European Bauhaus website](#).

### 3 Strategic focus of the Call

Mapping challenges and developing solutions in collaboration with citizens is key to delivering transformation. Citizen engagement that reflects the diversity of voices in the community is essential to developing successful long-term solutions that match the needs of the wider community. Working together in a shared endeavour for a common higher goal, results in a true synergy of innovation, creativity, and design, improving citizens quality of life in cities and driving green and digital transformations. For citizens, this can increase engagement with and social acceptance of change, and encourage a shift to more sustainable behaviours and mindsets. For the private sector, this can help adapt and tackle regulatory frameworks and reconsider the fundamental value systems and norms upon which they are based. Successful citizen engagement will increase the ability to create, experiment, demonstrate, scale, and deploy innovative solutions for a just, equitable and prosperous future.

This Call for proposals aims to work on activities where citizens and end-users are asked to identify relevant challenges in their city/community aligned with New European Bauhaus thematic axes. They are also empowered to create together potential solutions embedding the New European Bauhaus approach in an ideation process also aligned with the initiative. It is expected to encourage/incentivise the uptake of more sustainable habits, through the co-creation of new solutions, products and services with citizens, empowering them to implement innovations in their communities and trigger change by actively influencing local policies.

All activities included in the proposals must consider the following objectives and requisites:

### Objectives

- Raise awareness about the New European Bauhaus initiative, including its implications, applications, and achievements.
- Work in alignment with existing local strategies (City and or district/regional level) or/and planning to showcase the project results to relevant City officials. Close cooperation with local government is welcomed to enhance resonance of the project.
- Demonstrate and showcase the role citizens and end-users can play in improving the quality of life in the city they are living in through the implementation of the New European Bauhaus.
- Strengthen transdisciplinary, intergenerational and intersectoral collaboration by convening diverse stakeholder groups through citizen engagement activities.
- Empower citizens and end-users with the necessary tools and information to get involved in decision-making, enabling them to identify their most pressing challenges and co-design well-founded solutions that match the needs of a wider community.
- Collect citizens' and end-users' perspectives and suggestions whilst prioritising challenges aligned with the New European Bauhaus challenges described below.

### Requirements

- The activities must be tailored towards at least two specific and clearly identified target groups (can be defined by age, profession, neighbourhoods of the same city, etc.).
- Gender and social inclusion need to be considered during the implementation of activities.
- The proposed work plan of activities must be presented in a creative format identifying and prioritising challenges within New European Bauhaus challenges and aiming for the ideation and co-creation of potential solutions.
- The proposed solution needs to embed and integrate all the three core values of the New European Bauhaus (sustainability, aesthetics, inclusion) and all the three key New European Bauhaus principles (multilevel, participatory, transdisciplinary approach).
- The physical area or location where planned activities will take place must be specified. Please note that all activities must be implemented in a specified location within EU members states or Horizon Europe Associated Countries<sup>4</sup>.
- The proposal needs to include a communication and dissemination plan to engage with target groups in the given period.

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<sup>4</sup> For a list of countries eligible for funding and eligible for participation, please refer to the Horizon Europe (HORIZON) Programme Guide – [https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/horizon/guidance/programme-guide\\_horizon\\_en.pdf](https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/horizon/guidance/programme-guide_horizon_en.pdf)

- The proposed solution must have the potential to be implemented on a broader scale and/or replicable following a context-based approach. Proposals should aim for long-term sustainable impact.
- The proposed solution must use existing knowledge, innovative methodologies, tools, or processes on target group behaviour with regard to the selected topic and push for/promote real behavioural change and mindset shift.
- The applicants must have proven expertise and hands-on experience in leading and coordinating at least one previous citizen engagement project with the target groups involved.

## 4 EIT Community New European Bauhaus Challenges

The EIT Community NEB proposes to develop activities in cities, and peri-urban and rural areas to facilitate the exchange of knowledge between people across Europe and to create transdisciplinary projects addressing sustainability, quality of experience and inclusiveness.

All proposals need to embed and integrate all the three core New European Bauhaus values (*sustainability, aesthetics, inclusion*) and all the three key New European Bauhaus principles (*multilevel, participatory, transdisciplinary approach*) into their projects.

Based on the New European Bauhaus thematic axes, the EIT Community has further defined 4 challenges. All proposals must address **at least one** of the EIT Community New European Bauhaus challenges described below.

## 4.1 Re-connecting with nature

The New European Bauhaus movement aims to create greater opportunities for contact with green public spaces that translate into better health and reduce income-related health inequalities. Nature-based solutions in cities can help address floods and other extreme weather events, whilst making the built environment more attractive. Climate action can improve air, water and soil quality and overall living conditions. The COVID-19 pandemic underlined the direct link between nature protection and physical and mental health for citizens. There is a need to go beyond a human-centred to a life-centred perspective, seeking inspiration from nature and learning from it.

### Expected Outcomes and Impact:

The EIT Community NEB has set the following expected outcomes and impacts for the 2022 Citizen Engagement projects supporting this challenge:

- Co-design and co-stewardship of green spaces and nature-based solutions through public-private partnerships and social participation.
- Social activation and education activities on nature-based solutions, access, and amplification of green spaces, as well as their collective stewardship.

### Some examples of specific types of activities that can be addressed are included in the following non-exhaustive list:

- Urban greening, such as urban green corridors for active mobility, engaging citizens in ecological and urban green networks, interacting with citizens to encourage the uptake of green solutions, co-creation of green space(s) in order to exploit their potential such as better refresh/cooling an urban area, etc.
- (Re)naturalisation of degraded public areas, green areas, biodiversity, green and blue infrastructure, and urban furniture as assets promoting active mobility.
- Greening the job market, including the educational field of the life-long learning, through nature-based solutions.
- Training on biomimicry-inspired approaches, enhancing the connection to nature which in turn can create willingness to take on stewardship responsibilities.
- Urban farming and regenerative agriculture initiatives involving local communities.
- Activities geared towards promoting change towards healthier and sustainable nutrition, including activities contributing to the protection of bio-diversity, the promotion of plant-based meals and active lifestyles.



## 4.2 Re-gaining sense of community and belonging

The New European Bauhaus movement is about collective and private experience. Building bridges between people implies encouraging intergenerational solidarity, developing links between education and the arts in local environments, and improving common spaces and places to meet.

### Expected Outcomes and Impact:

The EIT Community NEB has set the following expected outcomes and impacts for the 2022 Citizen Engagement projects supporting this challenge:

- Co-design of public realm and commons with civil society and other stakeholders to favour diversity while strengthening inclusivity and equitability, as well as promoting co-ownership of public and working spaces.
- Awareness-raising and education programmes to highlight commonalities of sustainability and resilience, as well as activities promoting interaction and collaboration between different social groups around urban and rural spaces, and heritage focused on nature conservation and culture.

### Some examples of specific types of activities that can be addressed are included in the following non-exhaustive list:

- Enhancement of public realm, neglected public areas, accessibility, and interconnection with more sustainable means of transport, encouraging multiuse of public space by end-users and citizens boosting cultural exchange.
- Promotion of proximity economy activities allowing citizens to access key services and amenities within walking distance in a way to strengthen connections and foster healthy, sustainable, active mobility.
- Cultural life, arts events, concerts, festivals, and clubs organized around a shared interest which serve as a platform to deepen engagement with local challenges and/or potentially up-take of environmentally friendly solutions.
- Cultural and art activities and social interventions laying the groundwork for a high-functioning community that will be ready to assume ownership and responsibility for commons and public goods, contributing to improved resilience and net zero carbon targets
- Increasing urban-rural linkages for shorter, fairer, and more sustainable urban food chains, e.g. food chains which are more 'visible' chains to consumers and which build on the close relationships between cities and their surrounding rural environments.
- Active citizenship activities aimed at highlighting the cultural value of food and its connections with local, regional, national, and European identity.

## 4.3 Prioritising the places and people that need it the most

The New European Bauhaus movement promotes the inclusion of all citizens, as well as of the places where they live. Beautiful and sustainable solutions have to be affordable and accessible for all. Special attention should be paid to the specific situation of groups and individuals who are the most vulnerable, for instance, at risk of exclusion or poverty or experiencing homelessness. Disadvantaged groups are at greater risk of energy poverty and air pollution and have less access to public transport. Inclusion also implies pursuing a design for all approach to remove accessibility barriers to the built and virtual environments and to goods and services. The New European Bauhaus clearly goes beyond large city centres and encompasses places in all their diversity, including small villages, rural areas, shrinking cities, neglected city districts, suburbs and de-industrialised areas. This calls for planning to avoid spatial segregation of social groups and create a sense of togetherness. The various parts of a city, a village or neighbourhood should be connected.

### Expected Outcomes and Impact:

The EIT Community NEB has set the following expected outcomes and impacts for the 2022 Citizen Engagement projects supporting this challenge:

- Multi-stakeholder activities enhancing urban regeneration in less-favoured areas, including most polluted zones, degraded or dangerous neighbourhoods, remote areas with poor communication, and districts with limited services.
- Development and implementation of social interventions encouraging cultural understanding and connection between different social groups that otherwise would not interface. Activities enhancing the experience of belonging through shared orientation towards nature protection, sustainability, and resilience.

### Some examples of specific types of activities that can be addressed are included in the following non-exhaustive list:

- Addressing poor transport connections between rural and urban areas.
- Solutions aimed at universal mobility as a key enabler for social inclusion, allowing everyone to move freely within cities regardless of gender, race, beliefs, or disability.
- Creating immersive experiences to sensitize diverse groups to each other's challenges and thus build solidarity, with particular focus on forging alliances among precarious actors.
- Establishing physical and virtual spaces for resource and best-practice sharing within communities to socialize sustainable lifestyles.
- Activities aimed at testing digital tools with citizens assuring traceability, safety, and authenticity of foods to ensure the rights and meet the needs of vulnerable groups.
- Co-designing and co-creating activities with vulnerable groups of citizens aiming at new e-commerce and innovative food delivery services, e.g. digital services to solve the issue of 'food deserts', by providing delivery of fresh and nutritious foods to neighbourhoods lacking physical stores; helping food waste reduction, by connecting surpluses with potential buyers; or solutions for citizens with mobility issues such as being unable to go to markets or carry heavy weights.

## 4.4 The need for long-term, life cycle and integrated thinking in industrial ecosystem

The New European Bauhaus movement promotes an economy based on circularity to tackle unsustainable practices, including resource uses for obsolete buildings or infrastructures. Addressing these challenges concerns the entire industrial ecosystem, from production to delivery and consumption, with a circular economy mindset. Recovered and renewable materials should be better recognised by all relevant disciplines and become part of design paradigms. The use of sustainably produced and procured nature-based building materials, such as wood, bamboo, straw, cork, or stone should be improved. New production technologies should help reduce the carbon footprint of steel or cement, recycle otherwise wasted textiles and accelerate the green transition of energy intensive industries. New business models, bioeconomy, social economy approaches and Design for Sustainability can support the transformation of sectors such as textiles, tourism, waste management or energy production. The digital transition will play a systemic role in the development and implementation of the New European Bauhaus.

### Expected Outcomes and Impact:

The EIT Community NEB has set the following expected outcomes and impacts for the 2022 Citizen Engagement projects supporting this challenge:

- Public and multi-stakeholder activities fostering circular economy actions, namely with regards to resources, waste, product life extension and second life of products, and more efficient management of sources. Activities are to target unsustainable mindsets or behaviours in specific social groups to maximize the potential impact.
- Awareness-raising and education activities on circular economy initiatives aimed at changing individual and group behaviours that perpetuate an unsustainable use of resources and/or waste management, e.g. energy, water, food, plastic.

### Some examples of specific types of activities that can be addressed are included in the following non-exhaustive list:

- Circular mobility including shared mobility, such as satisfying user needs without transferring ownership of physical products through shared solutions.
- Enhancing a circular economy mindset, on citizen level to tackle unsustainable use of resources and waste.
- Improving the experience of managing and participating in food donation and enhancement of efficiency through circularity in the food donation chain.
- Revival of cultural traditions of durability and long-term use with an emphasis on sharing, exchanging, inheriting.
- Sensitization efforts that promote leaving ready products intact and valuing organic transience.
- Leverage existing innovations promoting circularity and market opportunities in the agri-food systems and a circular model maintaining the value of food in the economy for as long as possible.

## 5 Key Performance Indicators

Addressing the following 3 EIT Core Key Performance Indicators (KPIs) and their corresponding target value is mandatory for a proposal to be eligible:

KPI Code and name	KPI description	KPI Target value
<b>EITHE 14.1</b> Good practices and lessons learnt identified and codified by the project.	Number of good practices <sup>5</sup> and lessons learnt <sup>6</sup> identified and codified by the project. Structured data: ✓ List incl. the type, title and short description	1
<b>EITHE 15.1</b> Results, lessons learnt, and good practices disseminated by the project through appropriate means (e.g. publications, online repositories, fact sheets, targeted workshops etc.)	Number of results, good practices and lessons learnt disseminated. Structured data: ✓ List incl. the type, title, list of the website links showing the dissemination	1
<b>EITHE 17.1</b> Number of dissemination and communication activities of the project and number of people reached through these activities	Structured data:	
	✓ Physical or online event title and number of its participants	60
	✓ Website/social media	1
	✓ Disseminated/communication material	1

These EIT Core KPIs must be delivered during the project implementation, and be reported in the final report.

## 6 EIT funding allocation

The total maximum EIT funding allocation to this call is expected to be up to 120.000€. Eight projects (ideally two per challenge area) will be granted in total. Each successful proposal will be awarded up to 15.000€.

The EIT Community reserves the right to fund more than two proposals under a specific challenge area if exceptional quality of proposals are received in specific challenges or when the number and/or quality of proposals received across the range of EIT Community NEB Challenges do not meet the necessary criteria.

<sup>5</sup>Good practice is a practice that has been proven to work well and produce good results and is therefore recommended as a model.

<sup>6</sup>Lessons learnt are an analysis / record of a learning process in the development, implementation and follow-up of an innovative approach, process or activity. Lessons learnt are often a by-product of identifying and validating good practices.

## 7 Funding specification

The awarded projects must follow Horizon Europe Rules for Participation, in particular for the reimbursement of eligible costs. The funding rate that applies to the selected projects is 100% up to 15.000€ for each project. The grant will cover the costs actually incurred by the project activities as described in the aim and content section of this document.

EIT Community NEB will communicate to the awarded applicants the details of their grant allocation. Note that, unlike for the 'standard' KAVA (KIC Added Value Activity) projects:

1. There is no specific co-funding requirement. However, if a proposal has a co-funding, it will be positively valued. For example, if two proposals have the same scoring, prioritization will be given during the evaluation phase to the proposal with a co-funding rate.
2. The EIT Community New European Bauhaus intends to take an active role in the technical follow up of the project; details to be agreed with the winning applicant.
3. All funds awarded in this call must be fully expended by 31 December 2022.
4. All activities supported in this call must be fully completed by 31 December 2022.
5. The proposal selected in this EIT Community Call needs to follow the regular Business Plan reporting cycle and rules.

## 8 Project duration, deliverables, monitoring and reporting

The eight selected citizen engagement projects will be implemented in eight regions/cities in European Union Member States or Horizon Europe Associated Countries from 1<sup>st</sup> July to 30<sup>th</sup> November 2022.

The winning applicants will be asked to submit for each city or region where the activities take place:

- **Intermediate Report summarising:**

- Initial outputs according to the submitted work plan
- Identification of potential risks and mitigation measures if required

- **Final Report summarising:**

- Overall progress of the activity: brief description, methodology of engagement, work plan and achievement.
- Outputs and outcomes: identification and prioritisation of challenges, ideation process to create solutions and achievement of outputs
- Potential impact on local policies: feedback from local authorities and impact on their strategy.
- Conclusions: overall management and recommendations for the replication and/or upscaling the realised activity.

- In the final report the applicant must demonstrate the evidence of the publications/dissemination/communication events (title, description, views/participants, events pages, agenda, pictures signed and dated consent forms with family names and signatures anonymised, signed, and dated participant lists with family names and signatures anonymised, pictures/videos/graphic/image/infographics) were able to achieve according to the pre-defined targets of the application.

All activities funded by the EIT Community NEB must follow branding guidelines and obligations (set out in MGA Article 17). Communication activities and infrastructure, equipment of major results funded by the grant must moreover display the special logo of the EIT Community New European Bauhaus with the following text: *“EIT Community New European Bauhaus [project name] is supported by the European Institute of Innovation and Technology (EIT), a body of the European Union”*) and related communication materials must include the official logo of both: New European Bauhaus and EIT Community New European Bauhaus logos.

Each of the participating KICs on EIT Community NEB will monitor activities according to their expertise. All activities will have a kick-off meeting, an intermediate review, and a final review. Payments will be made following the positive assessment of the intermediate and final activity and cost reports as specified above as milestones.

## 9 Evaluation process of the applications

Once the applicants have submitted their proposals, the EIT Community NEB group will proceed to:

- Check eligibility and admissibility and, if successful:
- Initiate the evaluation of the content of the proposals

## 9.1 Admissibility and eligibility of the applications

The proposals will be eligible if they pass the following admissibility and eligibility criteria:

- The applicant must be a private or public “legal entity”<sup>7</sup>
- The legal entity must be registered in the EU Participant Portal and provide a 9-digital Participant Identification Code (PIC) number at the time of the proposal submission<sup>8</sup>.
- The applicant must come from an EU Member State or Third country associated to Horizon Europe<sup>9</sup>. Applications from [RIS countries](#) are positively encouraged.
- Consortia are not allowed.
- Proposals with a total EIT funding above 15K€ will not be considered.
- Proposals must clearly address and identify the 3 mandatory EIT Core Key Performance Indicators (KPIs), including the reference to the target value.
- The applicant must complete all the sections of the attached application form, in English, respecting the page limit of each section and submit it within the submission deadline.

Please note that both KIC partners and non-partners are welcome to apply.

In case of missing or incorrect information linked to KPI and partner registration, applicants will be awarded 3 calendar days from the official communication for the completion of the application. If the applicants respond positively to this requirement and within the time limit, the proposals will proceed to the next step of the evaluation phase (see section 9.2 below). If the applicants fail to respond or respond after the deadline, the proposals will remain ineligible and will not be further processed. The Lead Partner will be informed accordingly.

The Lead Partner of any proposal deemed inadmissible/ineligible who disputes the ineligibility decision, may appeal. This appeal must be made within 5 calendar days of the official notification of ineligibility (see section 10 below).

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<sup>7</sup>See Article 197(2)(c) EU Financial Regulation 2018/1046. A ‘legal entity’ means any natural or legal person created and recognised as such under national law, EU law or international law, which has legal personality, and which may, acting in its own name, exercise rights and be subject to obligations, or an entity without legal personality.

<sup>8</sup>Before submitting the proposal, all involved applicants (not only the Lead partner) need to register their organisation on the EU Participant Portal and obtain the PIC number (link [here](#)). This PIC number is needed in the Application form. If you have already participated in projects funded by the EU before and have your PIC number validated, there is no need to register your organisation again, you will be asked to directly indicate the PIC number in the Application form. More information about the PIC registration and validation [here](#).

<sup>9</sup> For list of countries eligible for funding and eligible for participation, please refer to the Horizon Europe (HORIZON) Programme Guide – [https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/horizon/guidance/programme-guide\\_horizon\\_en.pdf](https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/horizon/guidance/programme-guide_horizon_en.pdf)

## 9.2 Evaluation process and selection criteria

The purpose of the evaluation is to assess the excellence, impact and implementation of each proposal that successfully passed the admissibility and eligibility criteria.

This phase will consist of a full evaluation carried out by the EIT Community NEB using the evaluation criteria detailed below.

Each evaluation phase is integrated by different groups of criteria and sub-criteria which will be assessed according to the following scores from 0 to 5:

Score	Description	
<b>0</b>	<b><i>None</i></b>	The information requested is missing or incomplete
<b>1</b>	<b><i>Very poor</i></b>	The information provided is considered irrelevant or inadequate, compared to the specific call provisions
<b>2</b>	<b><i>Poor</i></b>	The information provided lacks relevant quality and contains significant weaknesses, compared to the specific call provisions
<b>3</b>	<b><i>Fair</i></b>	The overall information provided is adequate, however, some aspects are unclearly or insufficiently detailed, compared to the specific call provisions
<b>4</b>	<b><i>Good</i></b>	The information provided is adequate with sufficiently outlined details, compared to the specific call provisions
<b>5</b>	<b><i>Excellent</i></b>	The information provided is outstanding in its details, clarity, and coherence, compared to the specific call provisions



The proposals are evaluated and scored against the evaluation criteria listed below:

<b>Excellence and Innovative aspects of the proposal</b>	<b>Max. Score</b>
<b>Coherence of the intervention logic</b>	<b>20</b>
The proposal objectives are SMART (Specific, Measurable, Achievable, Realistic and Time-Bound)	5
The aim and the objectives of the proposals are clearly related to outcomes and results.	5
The proposal fits with the scope of the call and addresses the selected EIT Community NEB challenge.	5
The proposal embeds and integrates properly all the three core New European Bauhaus values (namely: sustainability, aesthetics, inclusion) and all the three key New European Bauhaus principles (namely: multilevel, participatory, transdisciplinary approach)	5
<b>Innovation potential</b>	<b>15</b>
The proposal tests or implements innovative methodologies, tools, or processes	5
The proposal uses creative and stimulating formats to initiate an ideation process to identify challenges and co-creating potential solutions	5
The proposal demonstrates its need and relevance for society, target group or market.	5
<b>TOTAL</b>	<b>35</b>
<b>Impact: social, economic, financial, and general sustainability</b>	<b>Max. Score</b>
<b>Ambition of the proposal and contribution to the expected impact</b>	<b>25</b>
The proposal's expected impacts are measurable at a quantitative and a qualitative level. The impact on key outcomes of the proposal is clearly defined. Social, economic and innovation impacts of the proposal are covered.	5
The proposal creates awareness about the role citizens and end-users can play in improving the quality of life in the city they are living in.	5
The proposal has the potential to be implemented on a broader scale in various cities (the outcomes are repeatable and/or scalable).	5
The proposal identifies a minimum of two target groups involved in the planned activity.	5
The proposal takes the gender dimension and social inclusion into account.	5
<b>Effectiveness of the proposed measures to communicate the project and to exploit and disseminate the proposal results</b>	<b>10</b>
The proposal presents an appropriate dissemination and communication plan to specific target audiences and stakeholders in the local context and is aligned with the challenge area (in line with MGA Article 17).	5
The proposal is aligned with existing local strategies (City and or district/regional level) or/and is planning to showcase the results to relevant City officials.	5
<b>TOTAL</b>	<b>35</b>

<b>Implementation: planning and sound financial management</b>	<b>Max. Score</b>
<b>Coherence and effectiveness of the workplan, including appropriateness of the allocation of budget, tasks, and resources</b>	<b>15</b>
The work plan is aligned to the achievement of proposal objectives, KPIs and expected results. The activities are aligned to proposal outcomes/outputs and expected results. The work plan of the proposal integrates societal inclusion actions.	5
The proposal properly identifies deliverables, milestones, timelines, risks, and mitigation.	5
The proposal's budget is clearly outlined and justified. The proposal's budget reflects value for money.	5
<b>Appropriateness of the management structures and procedures, including quality management and risk management</b>	<b>5</b>
The proposal identifies management structures to guarantee an effective management of the proposal resources..	5
<b>Expertise and previous experience of the applicants</b>	<b>10</b>
The applicant has previous experience in leading and coordinating citizen engagement projects with the target groups involved.	5
The applicant represents the right competencies in accordance with the proposal scope. The applicant has the required skills and expertise to carry out the work plan.	5
<b>TOTAL</b>	<b>30</b>

<b>Evaluation criteria</b>	<b>Max Score</b>
<b>Excellence</b>	<b>35</b>
<b>Impact</b>	<b>35</b>
<b>Implementation</b>	<b>30</b>
<b>Overall total</b>	<b>100</b>

Only proposals ranked equal or over 60 points (threshold) will be pre-selected.

If two proposals have the same scoring, the geographical spread within the European Union and the co-funding rate will be considered.

All the applicants will receive an email notification from the EIT Community NEB group with the evaluation results including (if applicable) a set of recommendations/conditions. The applicants of pre-selected proposals under conditions, will need to respond and update the proposals according to these recommendations/conditions within the given deadline (conditions clearing period). During the conditions clearing period, the applicants will be requested to sign and submit a Legal Package as part of the conditions.

Please refer to the specified time frame of this call as explained in Section 15 below.

If the applicant fails to comply with the provided recommendations/conditions or does not respond by the deadline, the EIT Community NEB group reserves the right to withdraw the conditional notification. In such a case, the next project proposal on the ranking list will be contacted.

## 10 Redress and complaints

The applicants of any proposal deemed inadmissible/ineligible who disputes the ineligibility decision, may appeal. In addition, upon receipt of the evaluation results, if a proposal is rejected, the applicants who disagree with the decision may wish to lodge a request for redress. This can only be made in the event that an evaluation comment is in clear contradiction with the information provided in the project proposal. The redress procedure is not meant to call into question the judgement made by experts who evaluated the proposal.

The appeal can be lodged against the outcomes of the admissibility and eligibility check or the evaluation upon the following grounds **only**:

- a. Process errors by the EIT Community NEB group
- b. Technical problems beyond the applicant's control
- c. Obvious human/mechanical errors by EIT Community NEB group
- d. Factual errors during the evaluation process

**Appeals cannot be made based on other grounds than those indicated above.**

Requests for redress against the outcomes of the admissibility and eligibility check or the evaluation should be raised within 5 calendar days of the official notification of ineligibility by the EIT Community NEB group or after receipt of the evaluation results by the EIT Community NEB group and should be sent to: [NEBcall4citizens2022@eiturbanmobility.eu](mailto:NEBcall4citizens2022@eiturbanmobility.eu)

Request must:

- Be related to the admissibility and eligibility check and/or to the evaluation process
- Be lodged exclusively against the grounds indicated above, including a clear description of the grounds for the complaint.
- Be received within the time limit specified above. **Late appeals will not be considered.**

An initial reply will be sent to complainants no later than two weeks after the deadline for redress requests. This initial reply will indicate when a definitive reply will be provided. If there is clear evidence of a shortcoming that could have affected the funding decision, all or part of the proposal may be re-evaluated. Unless there is clear evidence of a shortcoming there will be no follow-up or re-evaluation.

## 11 Eligibility of Costs

The information contained in this section refers to the Regulation (EU, Euratom) 2018/1046 and to the [Horizon Europe Model Grant Agreement \(MGA\)](#)<sup>10</sup>. Grants are subject to the principles laid down in the [Financial Regulation](#) (Regulation (EU, Euratom) 2018/1046), outlined in Art. 188-193:

- **Equal treatment:** the general principle of equal treatment and non-discrimination requires that comparable situations are not treated differently unless differentiation is objectively justified.
- **Transparency:** Grants shall be awarded following a publication of Calls for proposals, except in the cases referred to in Article 195 of the same regulation.
- **Non-cumulative award and no double financing:** Each action may give rise to the award of only one grant, there can be no duplicate European Union funding of the same expenditure. The applicant must indicate the sources and amounts of any other funding received or applied for in the same financial year for the same action or for any other action and for routine activities (running costs).
- **Non-retroactivity:** Unless otherwise provided in this Article, grants shall not be awarded retroactively. A grant may be awarded for an action which has already begun provided that the applicant can demonstrate the need for starting the action prior to signature of the grant agreement. In such cases, costs incurred prior to the date of submission of the grant application shall not be eligible, except: (a) in duly justified exceptional cases as provided for in the basic act; or (b) in the event of extreme urgency for measures referred to in point (a) or (b) of the first paragraph of Article 195 whereby an early intervention by the European Union would be of major importance.
- **No-profit rule:** The EU grant may not have the purpose or effect of producing a profit for the beneficiary. Profit is defined as a surplus of the receipts over the eligible costs incurred by the beneficiary when the request is made for payment of the balance. The receipts referred to above shall be limited to income generated by the action as well as financial contributions specifically assigned by donors to the financing of the eligible costs. Any income of the action must be indicated in the estimated budget and the final financial statement. If the final amount results in a profit for the beneficiaries, the amount of the grant will be reduced by the percentage of the profit corresponding to the Union contribution to the eligible costs of the action actually incurred by the beneficiaries.

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<sup>10</sup> Applicants may always refer to the most updated version of the Annotated Model Grant agreement provided by the EC.

All eligible costs must meet the following criteria as defined in Art. 6 of the [Horizon Europe Model Grant Agreement](#):

- Be actually incurred by the participant (no estimated/imputed/budgeted costs),
- Be incurred in the project period (exception: travel costs for kick-off meeting; costs of final report submitted within 60 days of the end of the project),
- Be included in the budget (indicated in the estimated budget of the MGA; for more information see budget transfers),
- Be incurred in connection with the action and necessary for its implementation,
- Be identifiable and verifiable and recorded in the beneficiary's accounts in accordance with the applicable accounting standards and usual cost accounting practices,
- Comply with the applicable national laws on taxes, labour, and social security, and be reasonable and justified and comply with the principle of sound financial management (in particular regarding economy and efficiency).

All eligible costs must be broken down according to the following cost categories, which are specific for this call:

- **A. Personnel costs:** The proposal must contain a calculation of the time that a person will likely spend on the project. This estimated working time has to be stated in the project proposal also-called "person months".
- **B. Subcontracting:** Cost of services to implement a specific task described in the proposal. Only a limited part of the project may be subcontracted and included in the project budget. Beneficiaries must choose subcontractors on "best value for money" competitive selection procedures, request in several offers.
- **C. Purchase costs**
  - *C1: Travel, accommodation, and subsistence costs:* all travel costs may be incurred for project staff (participation in project meetings, presentation of project results at conferences etc.) or for external experts.
  - *C2: Equipment costs:* Purchases of equipment, infrastructure or other assets used for the action must be declared as depreciation costs, calculated on the basis of the costs actually incurred and written off in accordance with international accounting standards and the beneficiary's usual accounting practices. Only the portion of the costs that corresponds to the rate of actual use for the action during the action duration can be taken into account.
  - *C3: Other goods and services:* mainly including consumables, catering, printing, graphics and translations, open access publications, costs of audit certificates from qualified auditors (Certificate on the Financial Statements(CFS)) as well as licence and patent fees.
- **D. Indirect costs** (overheads) are charged at a flat rate of 25% of the eligible direct costs (categories A. Personnel and C. Purchase B. Subcontracting do not have indirect costs)

The following cost categories are not eligible for this specific call:

- Cost of large research infrastructure
- Prizes
- Scholarships
- Financial support to third parties (sub-granting)

The following costs are not eligible, as defined in Art. 6 of the [Horizon Europe Model Grant Agreement](#):

- Costs related to return on capital and dividends paid by a beneficiary
- Debt and debt service charges
- Provisions for future losses or debts
- Interest owed
- Currency exchange losses
- Bank costs charged by the beneficiary's bank for transfers from the granting authority
- Excessive or reckless expenditure
- Deductible VAT (non-deductible VAT is eligible)
- Costs incurred or contributions for activities implemented during grant agreement suspension
- Costs incurred during suspension of the implementation of the project
- Costs declared under another EU/Euratom grant (no double funding)

Finally, as set out in Art. 20 of the HE MGA, each beneficiary must keep appropriate and sufficient evidence to prove the eligibility of all the costs declared, proper implementation of the action and compliance with all the other obligations under the Grant Agreement. The evidence must be verifiable, auditable, and available. It must be correctly archived for the duration of the project indicated in the Grant Agreement. In general, the evidence must be kept for at least 5 years after final payment or longer if there are ongoing procedures (audits, investigations, litigation, etc.).

## 12 Confidentiality

Access to the received applications will be given to all the KICs participating in this EIT Community action. The title and overall scope of the project will be shared within the EIT Community.

## 13 Intellectual property provisions

Ownership of the results generated under the project, including any copy or other intellectual property rights attached thereto ("IP Rights"), shall be co-owned by the KICs LE and the project participant with the following understanding:

- Each Party shall be free to use, disseminate, extract, and modify the results for non-commercial purposes of the KICs, itself and its affiliates.
- European Commission will be entitled to use graphic materials, logos, trademarks for dissemination purposes only.

- Future commercial use shall be possible and aimed at a collaborative basis of all Parties interested therein.
- The transfer of rights of the results to third parties shall require the consent of the other Parties.
- The project participant shall make sure it does not infringe IP Rights of third parties in producing the results. Further details, if necessary, should be agreed between the project participant and the KIC carrying out the administrative control of the project.

## 14 Application Submission

Please fill in the application form in English and submit your proposal as a single pdf by email **before 29<sup>th</sup> May 2022 at 23:59 CEST** to: [NEBcall4citizens2022@eiturbanmobility.eu](mailto:NEBcall4citizens2022@eiturbanmobility.eu)

For any concerns or queries please email: [maria.marrugat@eiturbanmobility.eu](mailto:maria.marrugat@eiturbanmobility.eu); [Natalia.vera@climate-kic.org](mailto:Natalia.vera@climate-kic.org); [Tommaso.emiliani@eitfood.eu](mailto:Tommaso.emiliani@eitfood.eu)

## 15 Call Calendar<sup>11</sup>

**Opening call:** 30 March 2022

**Call info session online event:** 26<sup>th</sup> April 2022 at 09:00 CEST Online.

For participation please fill in the [online registration form](#) until 21<sup>st</sup> April 2022.

**Closing call:** 29 May 2022 (23:59 CEST)

**Eligibility and admissibility check:** 1 week of June 2022

**Evaluation:** Mid-June 2022

**Communication of results to the participants:** Mid-June 2022

**Conditions clearing:** End of June 2022

**Signing contract:** End of June 2022

**Start of project:** 1 July 2022 (latest)

**Finalise project:** 30 November 2022 (latest)

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<sup>11</sup> Please note that this calendar is indicative. Dates may be subject to slight changes.