Cross-KIC New European Bauhaus Call for Regions, Cities or Affiliated Entities: Capitalising on existing public realm solutions

1. Context

On 18 January 2021, the European Commission launched the design phase of the New European Bauhaus initiative (NEB). The NEB is an environmental, social, and cultural initiative to combine the aesthetic experience, sustainability, and inclusivity complementing the European Green Deal. This initial design phase aims to shape the NEB concept, exploring ideas, identifying urgent needs, and enabling communities.

The European Institute of Innovation and Technology (EIT) will support the NEB design phase building out from its 2,000 partners over 60 European hubs by setting up a Cross-KIC NEB Project.

The Cross-KIC NEB project will mobilise public-private partners to develop talent and skills and showcasing high-value and impact innovations. To be able to contribute in an agile manner within the design-phase, EIT Urban Mobility will capitalize on existing citizen-centred engagement programmes aligned with the NEB mission. These projects solutions are offered to cities¹ as tools to boost their current work on their most pressing challenges.

2. Aim and content

EIT Urban Mobility has identified three innovation solutions matching the NEB goals and objectives to enhance citizen engagement in public realm design. The criteria applied in the selection of those projects were: the integration of citizen engagement activities, contribution to the enhancement of public realm and built environment, impact on the creation of inclusive and accessible public spaces and successful implementation of planned project activities.

This Cross-KIC NEB call will offer cities the possibility to select one of these project solutions to drive a specific challenge in their own urban transformation. Cities and regions can benefit from existing work aiming for rapid impact within 2021. Examples of expected outcome would be higher use rates, physical facilities improvement, urban greening, more inclusive access, and active mobility take-up. The three existing invested solutions are outlined below and further detailed in the annex.

¹ For the purpose of this call a “city” is interpreted as a city, region or a legally affiliated entity to a city or region.
CLEAR is a citizen engagement tool for co-design and planning public space. CLEAR offers real-life transition experiments in urban streets. From CLEAR, ModelMe3D developed a "Co-Design" webtool that supports urban planning based on an easy-to-use digital co-creation. It aims to democratise the urban design process for all citizens. Based on a real-world location/case (to be defined by cities), a co-creation platform will be digitally tested with a defined stakeholder base of residents, local businesses, and community actors.

RAPID uses rapid prototyping in 3D to support city decision-making. It enables citizens to understand proposed changes and interventions in their immediate built environment. It provides the possibility to explore new urban designs in response to public demand, use and behaviour patterns. RAPID uses a library of 3D city assets with rules/regulation guidance to allow different options/configurations and interventions to understand citizen behaviours through the use of agents. RAPID aims to provide better quality answers and solutions for city planning.

FURNISH works with ‘tactical urbanism’ to reconfigure public space/s connected to schools or educational centres. The aim is to expand pedestrian and leisure space, improve movement, and engage local makers and digital manufacturers via the quick and effective deployment of urban furniture. FURNISH considers the full life cycle of materials used in street furnishings, as well as cost and sustainability.

These three solutions will be presented in an online Call Info Session and Matchmaking Event (11th of June from 10:00 to 11:30 CET, to register click here). Following the session, cities will have the possibility to make direct contact with our partner organisations. This will allow detailed discussion of potential projects and their viability within the guidance, time frame and objectives.

Please check annexes I, II & III for additional information on the projects.

3. Expected activities & outputs

Implementation of three projects in three cities by the 31st of December 2021.

Report scaling of existing investments: CLEAR, FURNISH or RAPID (EIT UM) by submitting the following deliverables:

- Workshop or any other activities’ documentation describing co-design processes and their outcomes.
- Report of completed tests with end-users proving the success of the proposed solution.

Expected outputs, milestones and deliverables for each project are explained in annexes I, II & III.
4. Eligibility Criteria: who can apply?

EIT Urban Mobility launches this call for competitive evaluation and selection of suitable cities and projects. The applications will be assessed against the eligibility criteria.

To be eligible for participation and funding, applicants must be a private or public ‘legal entity’\(^2\). KICs partners and non-partners are eligible to apply. Applications from RIS countries are positively encouraged. Applications are eligible from consortia of two legal entities formed by:

- a city in EU Member States, as a lead partner.
- a partner representing solutions from CLEAR, FURNISH or RAPID.

The lead partner must provide:

a) an approved public realm infrastructure project to be implemented before December 2022 to apply the solutions CLEAR or RAPID, which aim to facilitate co-design and co-creation through citizen engagement (by Dec 2021) and to inform decision-making processes to the implementation of the project (Dec 2022).

Examples are street refurbishments, the transformation of parking lots or streets into parks or public spaces or tactical urbanism projects for the creation of new public spaces that adhere to the principles of sustainable, inclusive, safe and accessible public realm design.

or:

b) the availability of 2 physical public spaces of around 25sqm connected to entrances or exits of schools or other educational centres available to apply FURNISH, which output would be custom-built urban furniture.

Partners representing CLEAR, FURNISH or RAPID can form part of several consortia, whilst cities can only submit one application to form one consortium.

---

\(^2\) See Article 197(2)(c) EU Financial Regulation 2018/1046. A ‘legal entity’ means any natural or legal person created and recognised as such under national law, EU law or international law, which has legal personality, and which may, acting in its own name, exercise rights and be subject to obligations, or an entity without legal personality
5. Requirements

Cities are requested to submit an application with one of the pre-invested solutions addressing the following requirements in the application form:

- For CLEAR and RAPID project solutions: Demonstration of a planned or current public realm improvement project, where one of the EIT UM solutions will be deployed. A short summary of the project, including timeline, funding and key partners shall be outlined in the application form.

  or:

For FURNISH: Identification and allocation of 2 public spaces connected to school entrances or exits, where tactical interventions will take place. A description of the 2 specific locations, outlining issues to be addressed as well as possible links to city strategies shall be provided in the application form.

- A summary of how the region or city and/or the planned project would benefit from the EIT Urban Mobility project solution. The size of the project area and specific issues or problems related to the area should be defined in the application. The application should furthermore outline the metrics for the evaluation of a successful intervention. The city will be responsible for liaison with citizen and local stakeholders to develop any activities in collaboration with the partner representing the EIT UM solution. Any existing and/or proposed citizen engagement activities related to the project are welcome and should be outlined in the application form.

- The city should be able to provide data (for instance: CAD, digital twin, or as-built drawings) of the spatial area where the project solution will be applied at the start of the project.

- The city should be able to ensure projects visibility through local animation.

Cities should address the following requirements in a Letter of Intent:

- A contact person should be allocated from the city. The availability would be ca. 8h per week on average during the project’s duration to act as a “virtual client” and for the coordination of activities.

- The city should start the project within one month after receiving confirmation of funding award from the EIT UM. Written confirmation as to the project start shall be included in the Letter of Intent and submitted along with the application form.
The lead partner of a consortium must submit one single PDF file containing the Letter of Intent and the application form properly completed and signed by both partners within the specified time frame to: NEBcall4cities2021@eiturbanmobility.eu

6. Evaluation process and selection criteria

A quality assessment to evaluate the extent to which the proposals fulfil the evaluation criteria will be carried out by the Cross-KIC Group using the criteria listed below. Evaluation criteria to be considered as follows:

### Overall quality of application (30%)

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Max. Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Are all requirements of the call addressed appropriately and in detail?</td>
<td>5</td>
</tr>
<tr>
<td>Are challenges identified in the specific area where the project will take place?</td>
<td>5</td>
</tr>
<tr>
<td>Is the proposal coherent? Does the proposal define the timeline, milestones and deliverables?</td>
<td>5</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>15</strong></td>
</tr>
</tbody>
</table>

### Expected impact of the project (40%)

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Max. Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the proposal demonstrate the relevance and benefits of the EIT Urban Mobility solution to the city’s planned public realm improvement project or strategy?</td>
<td>5</td>
</tr>
<tr>
<td>Is the selected project solution well-integrated into the planned or current public realm improvement project or linked city strategy?</td>
<td>5</td>
</tr>
<tr>
<td>Is the impact on quality of experience, sustainability and inclusiveness clearly defined?</td>
<td>5</td>
</tr>
<tr>
<td>Is the impact measurable on qualitative and quantitative level? Does the proposal provide a metric for the evaluation of a successful intervention?</td>
<td>5</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>20</strong></td>
</tr>
</tbody>
</table>

### Existing or planned citizen engagement (20%)

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Max. Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>To what degree does the proposal include existing and/or new citizen engagement activities related to the proposed public realm improvements?</td>
<td>5</td>
</tr>
<tr>
<td>Are the stakeholders clearly specified? Are any mechanisms to identify and engage with stakeholders described?</td>
<td>5</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>10</strong></td>
</tr>
</tbody>
</table>
Resources for management/marketing of EIT UM activity (10%)

<table>
<thead>
<tr>
<th></th>
<th>Max. Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the proposal outline a strategy for local animation and dissemination?</td>
<td>5</td>
</tr>
<tr>
<td>Are any resources for the management and marketing of the activity available?</td>
<td>5</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>5</strong></td>
</tr>
</tbody>
</table>

Evaluation scale: In relation to each of the criteria above, the score ranges from 0 to 5 according to the following scale:

0 **Non-Existent**: no relevant information provided in the application file or cannot be judged because out of range/scope.
1 **Very Poor**: The criterion is addressed in a very incomplete and unsatisfactory manner.
2 **Poor**: There are serious inherent weaknesses in relation to the criterion in question.
3 **Fair**: The criterion is somewhat addressed, but there are significant weaknesses.
4 **Good**: The proposal addresses the criterion well, although some improvements are possible.
5 **Excellent**: The proposal successfully addresses all relevant aspects of the criterion in question. Any shortcomings are minor.

Based on ranking, the geographical spread within the European Union will also be considered.

The three proposals selected to be funded will be informed by the Cross-KIC Group according to the specified time frame of this call.

7. **Funding specification**

The awarded projects must follow Horizon Europe Rules for Participation, in particular for the reimbursement of eligible costs. The funding rate that applies to the selected projects is 100% up to 30,000€. The grant will cover the costs actually incurred by the project activities as described in the aim and content section of this document and further detailed in the annexes I, II and III.

Note that, unlike for the ‘standard’ KAVA projects:

1. There is no specific co-funding requirement, but it would be positively valued.

2. The Cross-KIC Group intends to take an active role for the technical follow up of the project; details to be agreed with the winning applicant.

3. Pre-financing from EIT Urban Mobility will be distributed according to the same rules and with the same timeline applicable to BP2021 projects.
4. For eligibility of the costs of the budget, regions, cities, or entities with a legal link to regions or cities must develop the activities jointly with EIT UM partners.

5. All the funds awarded in this call must be fully expended by 31 December 2021.

6. All activities supported in this call must be fully completed by 31 December 2021.

7. The proposal selected in this Cross-KIC Call needs to follow the regular Business Plan reporting cycle and rules

Three proposals will be awarded a maximum of €30,000 each.

8. Eligibility of costs

The information contained in this section refers to the Regulation (EU, Euratom) 2018/1046 and to the draft Horizon Europe Model Grant Agreement (MGA). Due to the draft status of the MGA, possible modifications might take place after the publication of this call, which will be communicated to the awarded consortia.

Grants are subject to the principles laid down in the Financial Regulation (Regulation (EU, Euratom) 2018/1046), outlined in Art. 188-193:

- Equal treatment: the general principle of equal treatment and non-discrimination requires that comparable situations are not treated differently unless differentiation is objectively justified.
- Transparency: Grants shall be awarded following a publication of calls for proposals, except in the cases referred to in Article 195 of the same regulation.
- Non-cumulative award and no double financing: Each action may give rise to the award of only one grant, there can be no duplicate European Union funding of the same expenditure. The applicant must indicate the sources and amounts of any other funding received or applied for in the same financial year for the same action or for any other action and for routine activities (running costs).
- Non-retroactivity: Unless otherwise provided in this Article grants shall not be awarded retroactively. A grant may be awarded for an action which has already begun provided that the applicant can demonstrate the need for starting the action prior to signature of the grant agreement. In such cases, costs incurred prior to the date of submission of the grant application shall not be eligible, except: (a) in duly justified exceptional cases as provided for in the basic act; or (b) in the event of extreme urgency for measures referred to in point (a) or
(b) of the first paragraph of Article 195 whereby an early intervention by the Union would be of major importance.

- No-profit rule: The EU grant may not have the purpose or effect of producing a profit for the beneficiary. Profit is defined as a surplus of the receipts over the eligible costs incurred by the beneficiary, when the request is made for payment of the balance. The receipts referred to above shall be limited to income generated by the action as well as financial contributions specifically assigned by donors to the financing of the eligible costs. Any income of the action must be indicated in the estimated budget and the final financial statement. If the final amount results in a profit for the beneficiaries, the amount of the grant will be reduced by the percentage of the profit corresponding to the Union contribution to the eligible costs of the action actually incurred by the beneficiaries.

All eligible costs must meet the following criteria as defined in Art. 6 of the Horizon Europe Model Grant Agreement:

- Be actually incurred by the participant (no estimated/imputed/budgeted costs),
- Be incurred in the project period (exception: travel costs for kick-off meeting; costs of final report submitted within 60 days of the end of the project),
- Be included in the budget (indicated in the estimated budget of the GA; for more information see budget transfers),
- Be incurred in connection with the action and necessary for its implementation,
- Be identifiable and verifiable and recorded in the beneficiary’s accounts in accordance with the applicable accounting standards and usual cost accounting practices,
- Comply with the applicable national laws on taxes, labour, and social security, and be reasonable and justified and comply with the principle of sound financial management (in particular regarding economy and efficiency).

All eligible costs must be broken down according to the following cost categories, which are specific for this call:

- A. Personnel costs: The proposal must contain a calculation of the time that a person will likely spend on the project. This estimated working time has to be stated in the project proposal as so-called “person months”.
- B. Subcontracting: Cost of services to implement a specific task described in the proposal. Only a limited part of the project may be subcontracted and included in the project budget.
Beneficiaries must choose subcontractors on “best value for money” competitive selection procedures, requesting several offers.

- **C. Purchase costs**
  - Travel, accommodation, and subsistence costs: all travel costs may be incurred for project staff (participation in project meetings, presentation of project results at conferences etc.) or for external experts.
  - Other goods and services: mainly including consumables, catering, printing, graphics and translations, open access publications, costs of audit certificates from qualified auditors (Certificate on the Financial Statements, CFS) as well as licence and patent fees.

- **E. Indirect costs (overheads) are charged at a flat rate of 25 % of the eligible direct costs (categories A and C, except volunteers’ costs, subcontracting costs, financial support to third parties and exempted specific cost categories, if any)**

The following cost categories are not eligible for this specific call:

- Cost of large research infrastructure
- Equipment and infrastructure depreciation
- Prizes
- Scholarships
- Financial support to third parties
- In kind contributions by cities (including personnel involved in the project, or any cost regarding marketing, dissemination or citizen engagement activities).

The following costs are not eligible, as defined in Art. 6 of the Horizon Europe Model Grant Agreement:

- Costs related to return on capital and dividends paid by a beneficiary
- Debt and debt service charges
- Provisions for future losses or debts
- Interest owed
- Currency exchange losses
- Bank costs charged by the beneficiary’s bank for transfers from the granting authority
- Excessive or reckless expenditure
- Deductible VAT (non-deductible VAT is eligible)
- Costs incurred or contributions for activities implemented during grant agreement suspension
- Costs incurred during suspension of the implementation of the project
- Costs declared under another EU/Euratom grant (no double funding)
9. Confidentiality

Access to the received applications will be given to all the KICs participating in this Cross-KIC action. The title and overall scope of the project will be shared within the Cross-KIC.


Ownership of the Results generated under the Project, including any copy or other intellectual property rights attached thereto (“IP Rights”), shall be co-owned by the KICs LE and the Project Participant with the following understanding:

- Each Party shall be free to use, disseminate, extract, and modify the Results for non-commercial purposes of the KICs, itself and its affiliates.
- Future commercial use shall be possible and aimed at a collaborative basis of all Parties interested therein.
- The transfer of rights in the Results to third parties shall require the consent of the other Parties.

The Project Participant shall make sure it does not infringe IP Rights of third parties in producing the Results. Further details, if necessary, should be agreed between the project participant and the KIC carrying out the administrative control of the project.

11. Call Calendar

Proposals should be submitted via email to: NEBcall4cities2021@eiturbanmobility.eu

Opening call: 11 May 2021

Call info session and matchmaking event: 11 June 2021 at 10:00 CET (register here more details to follow)

Closing call: 10 July 2021 (23:59 CET)

Eligibility and admissibility check: 12 – 14 July 2021

Evaluation: 15 – 20 July 2021

Communication of results to the participants: 21 – 22 July

Conditions clearing: 22 – 30 July
Signing contract: 1 – 13 August

Start of project: 16 August - 1 September (latest)

Finalise project: 31 December 2021 (latest)

Any concerns or queries on call content or objectives should contact: Maria.marrugat@eiturbanmobility.eu & sigrid.ehrmann@eiturbanmobility.eu